



# Smithsonian Institution

Office of the Inspector General

July 02, 2019

**Via Email: 75036-71815610@requests.muckrock.com**

Emma Best  
MuckRock News  
DEPT MR 75036  
411A Highland Ave  
Somerville, MA 02144-2516

Dear Ms. Best:

This is our response to your request in which you asked for "a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2017."

The Smithsonian Institution and its OIG are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), *cert. denied*, 524 U.S. 922 (1998); *Requests for Smithsonian Institution Information*, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution's mandate to increase and diffuse knowledge. See 20 U.S.C. §41 *et seq.* The OIG answers requests for documents consistent with SD 807, and it adheres to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, the OIG must comply with the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C. App. 3, which places restrictions on what information can be released. Finally, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c). This response is limited to those records that are subject to the requirements of the laws, policies, and principles listed above. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist

Accordingly, we have attached a 2-page document that is responsive to your request.

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

Sincerely,

Elin H. Christensen  
Counsel to the Inspector General

File No.	Offense Code	Date Closed
14-035	Personnel Issue	01/25/2017
14-067	Fraud	01/18/2017
14-069	Theft/Embezzlement	02/21/2017
15-002-Priority	Time and Attendance	09/20/2017
15-029	Fraud	10/05/2017
15-033	Public Safety/Health Concern	05/22/2017
15-044	Contract/Procurement Irregularity	03/08/2017
15-063	Col	01/18/2017
15-064	Theft/Embezzlement	02/14/2017
16-018	Personnel Issue	12/04/2017
16-028	Personnel Issue	03/09/2017
16-040	Abuse/Misuse SI Property	02/01/2017
16-041	Abuse/Misuse SI Property	01/11/2017
16-043	Abuse/Misuse SI Property	02/23/2017
16-050	Personnel Issue	02/28/2017
16-051	Personnel Issue	03/14/2017
16-052	Theft/Embezzlement	03/15/2017
16-054	Theft/Embezzlement	04/19/2017
16-057	Travel Irregularities	05/12/2017
16-059	Contract/Procurement Irregularity	12/07/2017
16-061	Public Concern	04/20/2017
16-064	Abuse of Authority	03/23/2017
16-067	Violation SoC	02/21/2017
16-068	Mismanagement Issue	09/28/2017
16-069	Public Concern	03/09/2017
16-072	Theft/Embezzlement	03/03/2017
16-073	Personnel Issue	09/28/2017
16-074	Abuse of Authority	03/27/2017
16-075	Public Concern	02/21/2017
16-076	Theft/Embezzlement	09/25/2017
16-079	Personnel Issue	01/09/2017
16-082	Mismanagement Issue	02/23/2017
16-084	OWCP	09/29/2017
16-085	Public Concern	01/24/2017
17-001	Theft/Embezzlement	03/31/2017
17-002	Other - Non Specific	01/12/2017
17-003	Public Concern	03/22/2017
17-005	Public Concern	02/22/2017
17-006	Computer Offense	11/29/2017
17-007	Theft/Embezzlement	02/16/2017
17-008	Contract/Procurement Irregularity	02/22/2017
17-009		03/27/2017
17-010	Other - Non Specific	02/21/2017
17-011	Abuse of Authority	01/09/2017
17-012	General Criminal Activity	02/23/2017
17-013	National Collections Issue	12/11/2017
17-014	Abuse/Misuse SI Personnel	02/23/2017
17-015	Personnel Issue	02/16/2017

17-016	Public Safety/Health Concern	02/16/2017
17-017	Violation SoC	02/15/2017
17-018	Violation SoC	10/19/2017
17-019	Assist Other Agency	01/05/2017
17-020	Public Concern	01/12/2017
17-023	Violation SoC	02/22/2017
17-025	Assist Other Agency	08/01/2017
17-026	Assist Other Agency	10/19/2017
17-027	Violation SoC	03/29/2017
17-028	Time and Attendance	09/28/2017
17-029	Public Concern	09/26/2017
17-030	General Criminal Activity	03/06/2017
17-031	Personnel Issue	05/12/2017
17-032	Public Concern	05/12/2017
17-033	Assist Other Agency	09/26/2017
17-034	Assist Other Agency	04/20/2017
17-035	Mismanagement Issue	06/01/2017
17-036	General Criminal Activity	06/06/2017
17-038	General Criminal Activity	05/17/2017
17-041	General Criminal Activity	08/08/2017
17-042	Missing/Theft - Collections	08/08/2017
17-043	Public Concern	09/26/2017
17-044	Other - Non Specific	06/01/2017
17-045	Personnel Issue	07/25/2017
17-046	Fraud	09/18/2017
17-050	Theft/Embezzlement	09/18/2017
17-051	Personnel Issue	09/27/2017
17-054	National Collections Issue	07/19/2017
17-055	Contract/Procurement Irregularity	07/18/2017
17-056	Abuse of Authority	09/27/2017
17-057	Public Concern	09/29/2017
17-058	General Criminal Activity	08/31/2017
17-059	General Criminal Activity	08/31/2017
17-060	Other - Non Specific	07/20/2017
17-061	Mismanagement Issue	10/04/2017
17-064	Theft/Embezzlement	09/12/2017
17-065	Public Concern	09/12/2017
17-067	Public Safety/Health Concern	12/07/2017
17-068	OWCP	09/25/2017
17-070	Personnel Issue	10/27/2017
17-075	Time and Attendance	12/06/2017
17-076	Time and Attendance	12/06/2017
18-003	Personnel Issue	10/12/2017
18-004	Gross Waste of Funds	10/12/2017
18-006	Other - Non Specific	11/29/2017
18-013	Other - Non Specific	11/14/2017
18-023	Public Concern	12/19/2017